

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

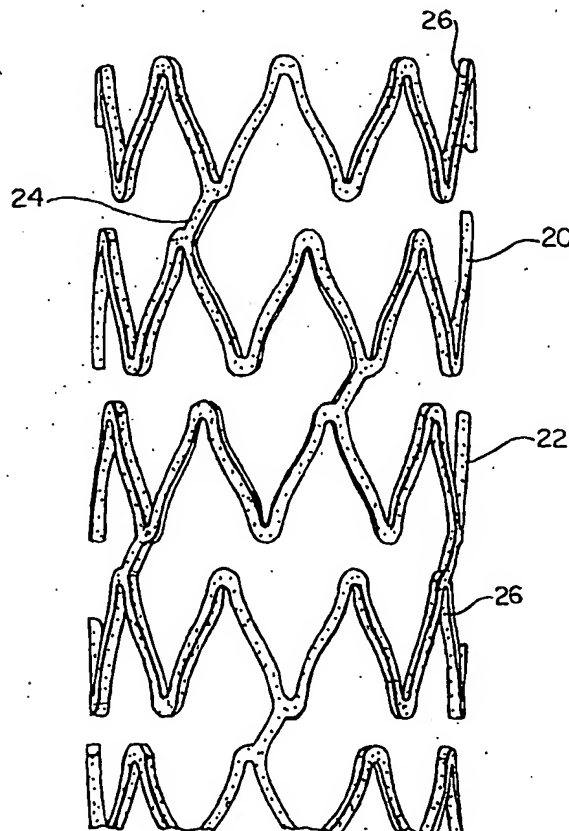
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61F 2/06</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/16386</b> (43) International Publication Date: <b>8 April 1999 (08.04.99)</b>
(21) International Application Number: <b>PCT/US98/18343</b> (22) International Filing Date: <b>4 September 1998 (04.09.98)</b> (30) Priority Data: 08/940,696                      30 September 1997 (30.09.97)    US (71) Applicant: <b>SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US).</b> (72) Inventor: <b>JOHNSON, Michael, W.; 5835C Teakwood Lane North, Plymouth, MN 55442 (US).</b> (74) Agents: <b>STEINKRAUS, Walter, J. et al.; Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131 (US).</b>	(81) Designated States: <b>CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

(54) Title: **STENT DRUG DELIVERY SYSTEM**

## (57) Abstract

Expandable intraluminal stents are provided as well as their method of manufacture. These stents are made of metal or polymer, the material characterized by a desired porosity, with a drug compressed into the pores of the stent. The stents are formed by subjecting one or more powdered materials in a die cavity to a pressure treatment followed by a heat treatment. The metal may be cast directly in a stent-like form or cast into sheets or tubes from which the inventive stents are produced. The so-formed porous metal stent is then loaded with one or more drugs.



*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## STENT DRUG DELIVERY SYSTEM

### Background of the Invention

This invention relates to stents for maintaining the patency of body  
5 passages. Additionally, the stents may serve as drug delivery vehicles. The invention  
has particular application to stenting in blood vessels of the human body and will be  
described with reference thereto. However, in a broader sense it relates to stenting in  
any body passage, including such passages as the gastrointestinal tract, urethral and  
ureteral tracts, bronchial and esophageal tracts. The invention also has particular  
10 reference to stents comprising compounds useful for the treatment and prevention of  
restenosis and also will find application in dilating and maintaining the patency of  
various body passages such as ureters and the like.

### Summary of the Invention

15 In accordance with the present invention, a porous stent made from a  
powdered metal or polymeric material is disclosed. The inventive stent is an  
expandable intraluminal stent comprising a main body portion having a first end, a  
second end and a flow passage defined therethrough, the main body portion being sized  
for intraluminal placement within a body passage and subsequent expansion for  
20 implantation. The main body portion of the stent of the present invention is further  
characterized in that it is formed at least in part of at least one porous material, the  
porous material having been formed from a powdered metal or polymeric material.

In another embodiment of the present invention, a drug is contained  
within the pores of the stent for delivery to the body.

25 In another embodiment of the present invention, the stent may be coated  
with a drug.

In another embodiment of the present invention, the stent is comprised of  
at least two porous metals.

The present invention is also directed to a method for making a porous  
30 expandable intraluminal stent comprising the steps of providing a powdered material,  
subjecting the powdered material to high pressure to form a compact, sintering the  
compact to form a final porous material and forming a stent from the porous material.

-2-

In another embodiment of the above-mentioned inventive method, at least one drug is loaded into the pores of the stent.

#### Brief Description of the Figures

5                    Figure 1a is a perspective view of one embodiment of a stent according to the present invention.

                    Figure 1b is an enlargement of a portion of Figure 1a showing pores on the surface of the metal.

                    Figure 2 is a sectional view of another embodiment of a stent in  
10                   accordance with this invention.

                    Figure 3 is a perspective view of another embodiment of a stent according to the present invention.

                    Figure 4a is a plan view development of the inventive stent in sheet form prior to rolling.

15                   Figure 4b is a sectional view of another embodiment of a stent according to the present invention.

                    Figure 5 is a perspective view of yet another embodiment of a stent according to this invention.

#### 20                   Detailed Description of the Presently Preferred Exemplary Embodiments

                    The present invention relates to a porous stent made from a powdered material such as powdered metal or polymer for maintaining the patency of body passages. Stents to which the present invention relates may be either balloon expandable or self-expanding as well as springy in form. For example, self-expanding  
25                   stents are known which are braided, woven or mesh-like in structure, although many other types of self-expanding stents including solid stents are also known. Such stents have memory characteristics and, if distorted in length and/or diameter by external forces, they will return or tend to return to a preformed configuration upon the release of external forces. This expansion may be due to the natural springiness of the stent, for  
30                   instance with a rolled up sheet stent, or as a result of a phase transition occurring in the stent material. Balloon expandable stents may be expanded by the application of a suitable amount of force to the stent.

-3-

The stents of the present invention may be used to deliver drugs to a desired bodily location. As used in this application, the term "drug" denotes any compound which has a desired pharmacologic effect, or which is used for diagnostic purposes. Useful drugs, in the context of the present invention include, but are not  
5 limited to angiogenic drugs, smooth muscle cell inhibitors, collagen inhibitors, vasodilators, anti-platelet substances, anti-thrombotic substances, anti-coagulants, cholesterol reducing agents and combinations thereof. The drugs may include radiochemicals to irradiate and/or prohibit tissue growth or to permit diagnostic imaging of a site.

10 The porous stent may be used as a drug-delivery system to, for example, prevent restenosis. The drugs may include radiochemicals to irradiate and prohibit tissue growth. Angioplasty and stent deployment may cause injury of the endothelial cell layer of blood vessels, causing smooth muscle cell proliferation, leading to restenosis. To control smooth muscle cell growth endothelialization of cells on the  
15 inner wall surface of vessels will prevent or prohibit the smooth muscle growth. To promote endothelialization human growth factors may be included in the outer layer and delivered.

The stent of the present invention may be formed of any bio-compatible powdered metals such as stainless steel. Powdered metals typically are available in  
20 powder sizes as small as 40 microns or less. While powdered metals of any powder size may be used in forming the stents of the present invention, preferably powders 40 microns or less will be used in forming the porous metal stent of the present invention. More preferably, powdered metals ranging in size from 6 to 12 microns will be used. Especially desirable are powders with good flow properties so that the particles may be  
25 dispensed easily into a die cavity for metal processing. Other suitable metals include, but are not limited to, spring steel, nitinol and titanium as well as any other bio-compatible metal which may become available in powdered form in the future. Suitable metals do not produce toxic reactions or act as carcinogens. The stent of the present invention may also be formed of bio-compatible powdered polymeric materials such as  
30 PTFE.

The stents of the present invention may also be prepared with different mean pore sizes. Pore size is an important parameter in that certain macromolecular drugs may be excluded from use where the pore size is very small. The pore size may

also play a role in determining the extent of cellular infiltration or tissue ingrowth during implantation of the stent. While cellular ingrowth is sometimes desirable, it can also lead to complications such as infection and difficulty in removing the stent. Stents with a mean pore size of greater than about 10 microns can allow infiltration of cellular sized biomaterials; stents with mean pore sizes in the range of 1-10 microns may accommodate infiltration of some of the above bio-materials. Stents with pore sizes less than about 1 micron will not generally accommodate infiltration of any of the above biomaterials but can accommodate infiltration of macromolecular and small biomaterials. Thus, the pore size of the stent may be varied to foster or inhibit cellular infiltration and/or tissue ingrowth. Of course, the pore size may also be varied to facilitate delivery of drugs of different molecular sizes.

The material processing proceeds with a pressure treatment step in which the powdered material in a die cavity is subjected to pressures of up to twenty tons or more. At such high pressures, the powder begins to interlock, forming a compact with pockets of air remaining in the metal. The pressure treatment step usually proceeds at room temperature although warm or hot pressing may be used. Other techniques to form the compact, as known in the art, may be substituted for the pressure treatment step. The die cavity used in this step may be a stent die cavity to allow for direct casting of the stent or alternatively, may be for some other form such as a tube or a sheet. Following the pressure treatment step, the compact has sufficient strength to allow for routine handling without breakage.

After ejection from the die, the compact is sintered to form a coherent metal or polymer mass in the shape of the die. Alternatively, the pressure treatment step can be eliminated and the processing limited to a sintering in which the metal or polymer powder is heated in a die resulting in a low density, highly porous compound. Although the sintering step may actually partially melt the metal or polymer as in liquid-phase sintering, in the preferred embodiment, the sintering step does not melt the metal or polymer as the temperature is maintained below the melting point of elemental metal or any alloys that have formed or the polymer melting point. The sintered metal or polymer will exhibit a porosity ranging from less than 10 percent to about 80 percent of the total volume. The percentage porosity is a measure of the void space within the metal.

-5-

Following sintering, the now porous metal or polymer may be formed into a stent, if it has not been so-formed already. Any known process in the art may be used including laser cutting and braiding of porous metal strands. Figures 1a and 1b illustrate one such stent 10, with pores 14 formed by laser cutting apertures 18 in a sheet of porous metal. Figure 2 illustrates a stent 20 which is composed of a number of interconnected members 22, the members and interconnections 24 made of a metal containing pores 26. A braided stent may be formed of a series of strands arranged in a crossing configuration which may be woven, braided or the like. The strands of porous metal or polymer can be deformed so to provide a reduced diameter of the stent which facilitates its delivery to the targeted portion of a vessel or other passageway and once disposed at the target portion the stent can then be allowed to expand to its preformed configuration and larger diameter.

The stents of the present invention may be prepared in a range of porosities allowing for the production of stents with differing drug delivery characteristics. The porosity may be between twenty and eighty percent of the total volume and more suitably between forty and sixty percent of the volume.

The stent may be impregnated with one or more drugs by any known process in the art including high pressure loading in which the stent is placed in a bath of the desired drug or drugs and subjected to high pressure or, alternatively, subjected to a vacuum. The drug may be carried in a volatile or non-volatile solution. In the case of a volatile solution, following loading of the drug, the volatile carrier solution may be volatilized. In the case of the vacuum, the air in the pores of the metal stent is evacuated and replaced by the drug-containing solution.

In accordance with the present invention, the stent may further be coated with one or more layers of one or more drugs to allow for longer term drug elution optionally employing a number of different drugs over time. As such, the drug in the pores would not be eluted until the coating of drug has been absorbed, thereby allowing for longer term drug treatment than would be available from the coated metal alone.

Figure 3 shows a coil stent 30 in which the porous metal stent 30 further comprises such a coating 32 (the pores have been omitted for clarity).

The inventive stent may also be formed from a rolled up flat sheet comprised of a porous metal or polymer as shown in Figure 4a. The sheet 35 contains a plurality of apertures 36 and pores 38 as well as tabs 37. The tabs are inserted into the

holes 36a-c when the stent is rolled, as shown in Figure 4b. The stent may be rolled tightly for delivery and implantation and be self-expandable to the extent that it tends to unroll. The stent may further be laminated with a layer of drug over the porous surface of the stent. Otherwise, it may simply be expanded by independent expansion means  
5 such as a balloon catheter positioned inside the stent as is already known in the art.

Another embodiment of the invention contemplates the fabrication of any stent design per se taken from the prior art, the stent prepared from a porous metal or polymer, the pores of the metal or polymer including one or more drugs.

Another embodiment of the invention is an expandable intraluminal stent  
10 comprising a main body portion having a first end, a second end and a flow passage defined therethrough, the main body portion being sized for intraluminal placement within a body passage and subsequent expansion for implantation, the main body portion being further characterized in that it is formed at least in part of at least two metals, the two metals comprising a first porous metal characterized by a first porosity  
15 and mean pore size and a second porous metal characterized by a second porosity and mean pore size. Figure 5 depicts one such stent, 40, the first metal 42 containing first pores 44 therein and the second metal 46 containing second pores 48 therein.

In the above embodiment, one drug may be loaded into the pores of the first porous metal and a second drug loaded into the pores of the second porous metal.  
20 Alternatively, the same drug can be loaded into both the first and second porous metals.

The present invention is also directed to a method for making a porous metal, expandable intraluminal stent comprising the steps of providing a powdered metal or polymeric material, subjecting the powder to high pressure to form a compact, sintering the compact to form a final porous metal or polymer, forming a stent from the  
25 porous metal and, optionally, loading at least one drug into the pores. The drug(s) may be loaded into the pores by placing the stent in a liquid bath comprising the at least one drug at high pressure, by placing the stent in a liquid bath within a chamber, the liquid bath comprising the drug(s), and reducing the pressure within the chamber below ambient pressure or by any other method known in the art.

30 In yet another embodiment, the invention is directed to a method of making an expandable intraluminal stent of varying porosity comprising the steps of providing two or more metal and/or polymeric powders in a die, subjecting the two or more powders to high pressure to form a compact, sintering the compact to form a final



-7-

porous metal or polymer of varying porosity, forming a stent from the porous metal or polymer and, optionally, loading at least one drug into the pores. The two or more powdered metals and/or polymers can comprise at least two different metals and/or polymers or can comprise one metal or polymer, the one metal or polymer provided in at least two different average particle sizes or can comprise several different metals or polymers provided in several different average particle sizes. In such a way, the porosity of the stent in different regions of the stent can be tailored by forming the stent of several different powdered metals or polymers comprising a combination of different elemental metals or alloys or polymers in powdered form, or using the same elemental metal, alloy or polymer but providing it in several powders of different average particle size or by some combination of different metals and/or polymers and same metals and/or polymers of different particle size.

While the invention has been described in connection with what is presently considered to be the most practical and preferred embodiments, it is to be understood that the invention is not to be limited to the disclosed embodiments but, on the contrary, is intended to cover various modifications and equivalent arrangements included within the spirit and scope of the appended claims.

The above Examples and disclosure are intended to be illustrative and not exhaustive. These examples and description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are intended to be included within the scope of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

What is claimed is as follows:

1. An expandable intraluminal stent comprising a main body portion having a first end, a second end and a flow passage defined therethrough, the main body portion  
5 being sized for intraluminal placement within a body passage and subsequent expansion for implantation, at least a portion thereof formed of at least one material having pores therein, the material having been formed from at least one powdered metal and/or powdered polymer.
2. The stent of claim 1 wherein the portion having pores therein has a  
10 porosity of twenty to eighty percent by volume.
3. The stent of claim 2 wherein the portion having pores therein has a porosity of between forty and sixty percent of the total volume of the metal.
4. The stent of claim 1 formed of a plurality of strands of a metal, the metal  
having pores therein.
- 15 5. The stent of claim 4 in woven form.
6. The stent of claim 1 in laminated sheet-like form.
7. The stent of claim 1 including a drug in the pores of the material.
8. The stent of claim 7 wherein the drug is selected from the group  
consisting of smooth muscle cell inhibitors, collagen inhibitors, vasodilators, anti-  
20 platelet substances, anti-thrombotic substances, anti-coagulants, cholesterol reducing agents, angiogenics and combinations thereof.
9. The stent of claim 7 wherein the stent is coated with one or more layers of one or more drug containing materials.
10. The stent of claim 1 wherein the mean pore size is less than 10 microns.
- 25 11. The stent of claim 1 wherein the mean pore size and/or porosity differs in different regions of the stent.
12. The stent of claim 1 wherein the powdered polymer is PTFE.
13. The stent of claim 1 wherein the powdered metal is stainless steel.
14. The stent of claim 1 comprising at least two separate regions, the first  
30 region formed of a first material having first pores within, the first material characterized by a first porosity and a first mean pore size, the first material having been formed from a first powdered material, the second region formed of a second material having second pores within, the second material characterized by a second porosity and

a second mean pore size, the second material having been formed from a second powdered material.

15. The stent of claim 14 wherein the pores in the first and second materials contain at least one drug.

5 16. The stent of claim 14 wherein the first pores contain a first drug and the second pores contain a second drug.

17. A method of making a porous expandable intraluminal stent comprising the steps:

- 10 a) providing at least one powdered material;  
b) subjecting the at least one powdered material to high pressure to form a compact;  
c) sintering the compact to form a final porous material;  
d) forming a stent from the porous material.

18. The method of claim 17, further comprising the step of:  
15 e) loading at least one drug into the pores.

19. The method of claim 17 wherein the at least one powdered material is a powdered metal.

20. The method of claim 17 wherein the at least one powdered material is a polymeric material.

20 21. The stent of claim 20 wherein the polymeric material is PTFE.

22. The method of claim 18 wherein the drug is loaded into the pores by placing the stent in a liquid bath comprising the at least one drug at high pressure.

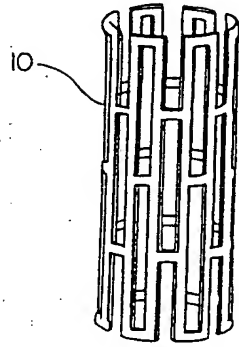
23. The method of claim 18 wherein the drug is loaded into the pores by placing the stent in a liquid bath within a chamber, the liquid bath comprising the at  
25 least one drug, and reducing the pressure within the chamber below ambient pressure.

24. The method of claim 19 wherein two or more powdered metals are provided, the two or more metals differing elementally.

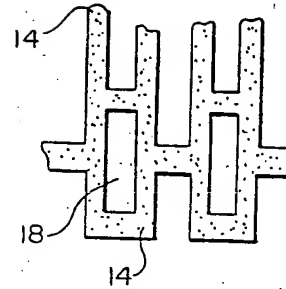
25. An expandable intraluminal stent comprising a main body portion having a first end, a second end and a flow passage defined therethrough, the main body portion  
30 being sized for intraluminal placement within a body passage and subsequent expansion for implantation, the main body portion comprising a porous material, the material being further characterized in that it is formed at least in part of a powdered material which has been at least partially fused and sintered.

1/3

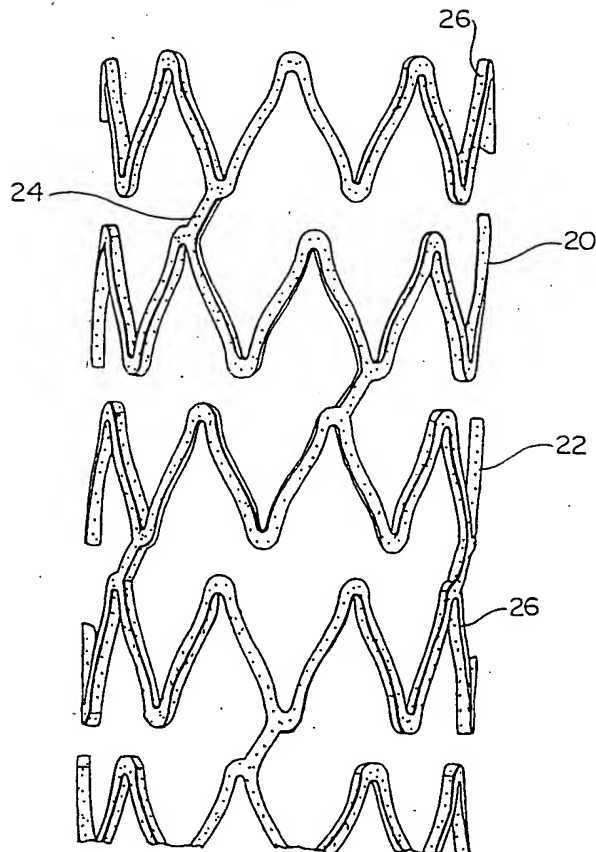
**Fig. 1a**



**Fig. 1b**

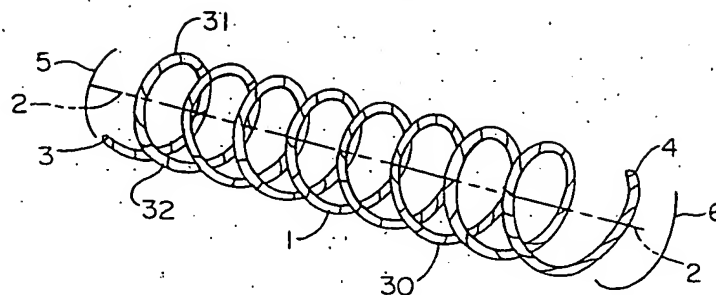


**Fig. 2**

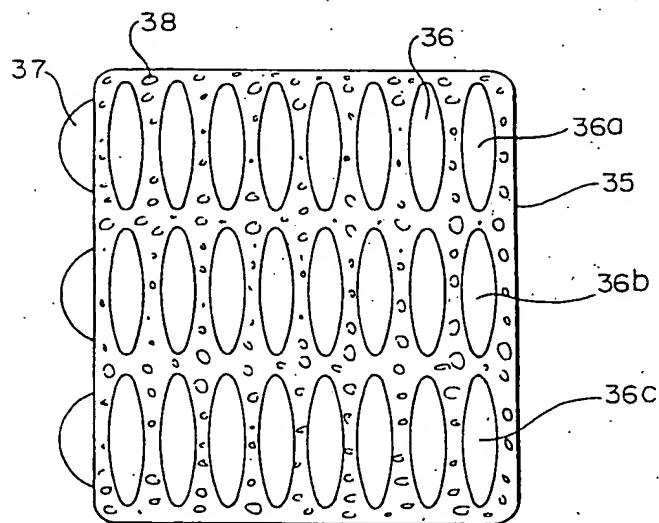


2/3

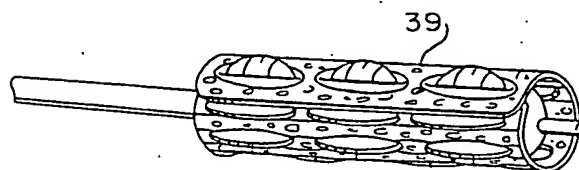
**Fig. 3**



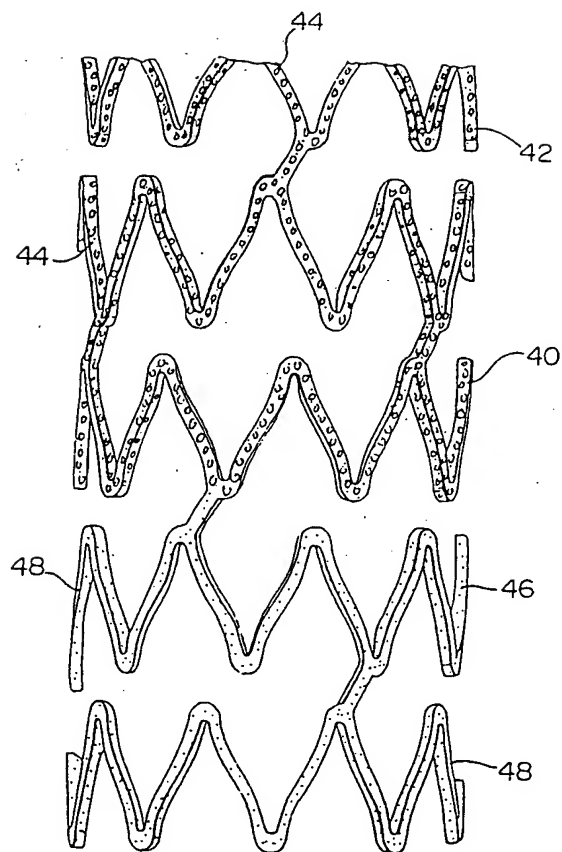
**Fig. 4a**



**Fig. 4b**



*Fig. 5*



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/18343

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61F2/06

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61F A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP 0 875 218 A (ADVANCED CARDIOVASCULAR SYSTEM) 4 November 1998	1,4-11, 13, 17-19, 22,23,25
A	see figures 1-3  see figures 5,9 see column 4, line 36 - column 5, line 3 see column 6, line 4 - line 36 see column 6, line 45 - column 7, line 6 see column 7, line 19 - column 7, line 30 see column 7, line 45 - column 8, line 9 see column 8, line 19 - line 40 see column 11, line 10 - line 57 see claim ALL	3,12, 14-16, 20,21,24

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

15 January 1999

Date of mailing of the international search report

28/01/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Mary, C

# INTERNATIONAL SEARCH REPORT

Inter. Patent Application No.  
PCT/US 98/18343

## C.(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>WO 96 32907 A (SCHNEIDER USA INC) 24 October 1996 see figure 4 see page 8, line 5 - line 24 see page 9, line 29 - line 31 ---</p>	1,4,7-9, 25
A	<p>US 4 101 984 A (MACGREGOR DAVID C) 25 July 1978 see column 3, line 18 - line 20 see column 3, line 46 - column 4, line 34 see column 5, line 12 - line 33 see column 6, line 53 - line 61 ---</p>	1,2,17, 25
A,P	<p>US 5 749 880 A (BANAS CHRISTOPHER E ET AL) 12 May 1998 see column 12, line 21 - column 13, line 9 -----</p>	1,12,17, 21,25



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**